

FACTS	WHAT DOES XY INVESTMENT SOLUTIONS, LLC DO WITH YOUR FINANCIAL INFORMATION?
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Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
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What?	<p>The types of personal information we collect and share depends on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> ▪ Social Security number and income ▪ Financial account balances and assets ▪ Transaction history
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How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons XY Investment Solutions, LLC chooses to share; and whether you can limit this sharing.
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Reasons we can share your personal information	Does XY Investment Solutions, LLC share?	Can you limit this sharing?
For our everyday business purposes: such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes: to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	Not Applicable
For our affiliates' everyday business purposes: information about your engagement, experiences, and assets	Yes	No
For our affiliates' everyday business purposes: information about your creditworthiness	No	Not Applicable
For our non-affiliated persons who are contracted with us, for everyday business purposes: <i>Arrangements with companies or independent contractors not affiliated with XY Investment Solutions, LLC</i>	Yes	No
For our affiliates to market to you	Yes	[-]
For non-affiliates to market to you	No	Not Applicable

To limit our sharing	<p>Call (360) 301-7579 or go to xyplanningnetwork.com/invest</p> <p>Please note: If you are a <i>new</i> client, we can begin sharing your information 10 days from the date we sent this notice. When you are <i>no longer our client</i>, we continue to share your information as described in this notice.</p> <p>However, you can contact us at any time to limit our sharing.</p>
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Questions?	Call (360) 301-7579 or go to xyplanningnetwork.com/invest
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Privacy Policy Notice

Who we are	
Who is providing this notice?	XY Investment Solutions, LLC
What we do	
How does XY Investment Solutions, LLC protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and building, as well as other physical, electronic, and procedural safeguards.
How does XY Investment Solutions, LLC collect my personal information?	<p>We collect your personal information, for example, when you:</p> <ul style="list-style-type: none"> ▪ Open an account ▪ Sign an investment advisory agreement ▪ Seek advice about your investments ▪ Tell us about your investment or retirement portfolio or earnings <p>We also collect your personal information from our affiliates.</p>
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only:</p> <ul style="list-style-type: none"> ▪ sharing for affiliates' everyday business purposes - information about your creditworthiness ▪ affiliates from using your information to market to you ▪ sharing for nonaffiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing.</p>
Definitions	
Affiliates	<p>Companies related by common ownership and control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> ▪ XY Planning Network, Inc. ▪ AdvicePay ▪ Kitces.com ▪ fpPathfinder ▪ New Planner Recruiting
Non-Affiliates	<p>Companies not related by common ownership and control. They can be financial or nonfinancial companies.</p> <ul style="list-style-type: none"> ▪ We do not share with non-affiliates so they can market to you
Joint marketing	We do not jointly market to you.
Other Important Information	
<p>XY Investment Solutions, LLC may also share your personal information with a successor advisor if necessary in connection with the unexpected death or incapacity of its principal owner(s), as well as in connection with the eventual retirement or other change in control of the firm.</p>	